

SUBSCRIPTION APPLICATION**TRIGON**

Notes:

Registration number:

Please send the completed application to:

IPConcept (Luxemburg) S.A.
 c/o DZ PRIVATBANK S.A.
 (Registration and Transfer Agent)
 4, rue Thomas Edison
 L-1445 Strassen, Luxembourg

1. Subject matter of the application

The applicant buys, at the issue price and subject to the conditions described in the sales prospectus of TRIGON ("Fund") (in addition to the Annex and Management Regulations) ("Sales Prospectus"), in the section "Issuance of units",

		WKN/ISIN	Amount
<input type="checkbox"/> Units of the subfund	TRIGON - New Europe Fund - A EUR	A2DYMA/ LU1687402393	EUR _____
<input type="checkbox"/> Units of the subfund	TRIGON - New Europe Fund - A USD	A2DYMB/ LU1687402476	USD _____
<input type="checkbox"/> Units of the subfund	TRIGON - New Europe Fund - A GBP	A2DYMC/ LU1687402559	GBP _____
<input type="checkbox"/> Units of the subfund	TRIGON - New Europe Fund - B EUR	A2DYMD/ LU1687402633	EUR _____
<input type="checkbox"/> Units of the subfund	TRIGON - New Europe Fund - B USD	A2DYME/ LU1687402716	USD _____
<input type="checkbox"/> Units of the subfund	TRIGON - New Europe Fund - C EUR	A2DYMF/ LU1687402807	EUR _____
<input type="checkbox"/> Units of the subfund	TRIGON - New Europe Fund - C GBP	A2DYMG/ LU1687402989	GBP _____
<input type="checkbox"/> Units of the subfund	TRIGON - New Europe Fund - D EUR	A2DYMJ/ LU1687403102	EUR _____
<input type="checkbox"/> Units of the subfund	TRIGON - New Europe Fund - E EUR	A2DYMJ/ LU1687403367	EUR _____

In this subscription order, references to the fund are to be understood as references to the subfund, insofar as is practically applicable.

SUBSCRIPTION APPLICATION**TRIGON****2. Applicant**

Legal person/Company/Association/Other legal entity	
Firm/Name	
Street, House no., Postal code, City, Country:	
Headquarters:	
Phone/fax:	
Date of foundation, City and country of foundation:	
Legal form:	
Sector:	
Nationality:	
<input type="checkbox"/> certified copy of the excerpt from a public register (trade or association register; not older than 6 months) and <input type="checkbox"/> certified copy of the Statutes and/or the Articles of Association	<input type="checkbox"/> Document of the applicant, indicating right of representation <input type="checkbox"/> Form W-8BEN-E, W-8IMY or W-8EXP and, if applicable, supplementary documentation <input type="checkbox"/> Self disclosure of companies regarding tax residence, beneficial owners and controlling persons as well as supplementary documentation <input type="checkbox"/> Other
Designation of document/issued to:	_____
Person authorised to represent	1. _____ 2. _____ 3. _____ 4. _____
Is the applicant a listed share company?	<input type="checkbox"/> <input type="checkbox"/> No Please identify the beneficial owner and carry out a review of the beneficial owner as a politically exposed person (PEP) in the next two sections. <input type="checkbox"/> <input type="checkbox"/> Yes Continue with section "Review of the origin of the funds"

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<p>Does a natural person hold an interest, whether directly or through natural or legal persons, of over 25% in capital (beneficial owner)?</p>	<p>The following natural person(s) (beneficial owner(s)/controlling person(s)):</p> <ul style="list-style-type: none"> • directly or indirectly (through natural or legal persons) hold an interest of over 25% of the share capital, <input type="checkbox"/> • exercise(s) control over the company in another way, <input type="checkbox"/> • hold a position in management ("Senior Managing Official"): <input type="checkbox"/> 						Yes	No
<p>If no natural person has a controlling interest (> 25%) in the company, does a natural person exercise control in another way over the company (controlling person)?</p>	Last name, First name or Company name	Date of Birth or foundation	Nationality	Address	Country	Company share (in %)/ Nature of exercise of control/Position in management	Identified by	
<p>If none of the previously mentioned questions result in the identification of a beneficial owner, does a natural person hold a position in management ("Senior Managing Official")?</p>	<p>According to the requirements of Luxembourg money laundering rules, the beneficial owners/controllers of a legal person must be identified. In order to describe the ownership structure, an organigram/list of shareholders of the legal person must be attached. Identification of legal persons is done by means of a copy of the excerpt from a public register (e.g. trade register, association register) and a copy of the Statutes and/or the Articles of Association. In addition, for identification of the economic rights of the legal person(s), a copy of the identity card/passport is needed.</p> <p>In the case of complex, multilevel ownership structures, these must be documented together with the necessary information on the legal person(s) and their beneficial owner(s) on a separate paper and attached to this application.</p> <p>The applicant is obligated to inform the Registration and Transfer Agent immediately of any change in the information regarding the beneficial owner.</p>							

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<p>Review of the beneficial owner as a politically exposed person (PEP)</p>	<p>In accordance with the requirements of the Luxembourg money laundering act, the following special due diligence obligations apply for all business relationships with “politically exposed persons”. “Politically exposed persons” (PEP) are those natural persons who hold or have held (within the past year) important public offices, as well as their immediate family members or known close associates.</p> <p>Please confirm for us, by providing information on the following points, whether the beneficial owner of the applicant holds or held one of the important public offices mentioned, and therefore is to be classified as a “politically exposed person”:</p> <table style="width: 100%; border: none;"> <thead> <tr> <th style="text-align: left; padding-left: 20px;">(1) The definition of PEP includes the following “natural persons who hold or have held important public offices”:</th> <th style="text-align: center; padding-left: 20px;">Yes</th> <th style="text-align: center; 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	<p>Please also confirm for us, by providing information on the following points, whether any of the family members of the beneficial owner (2) or any of the persons known to be close to the beneficial owner (3) holds or held any of the important public offices named under Point (1) and the beneficial owner of the applicant is therefore to be classified as a “politically exposed person”:</p>		
	<p>(2) The definition of PEP includes the following “family members” who hold or have held important public offices”:</p>	Yes	No
	a) a spouse;	<input type="checkbox"/>	<input type="checkbox"/>
	b) a partner that has the same rights as a spouse in accordance with applicable law;	<input type="checkbox"/>	<input type="checkbox"/>
	c) children and their spouses or partners;	<input type="checkbox"/>	<input type="checkbox"/>
	d) parents;	<input type="checkbox"/>	<input type="checkbox"/>
	e) siblings.	<input type="checkbox"/>	<input type="checkbox"/>
	(3) The definition of PEP includes the following “closely related persons” who hold or have held important public offices:	Yes	No
	a) any natural person, who, together with a person that falls under (1), is known to be the sole beneficial owner of legal entities or legal arrangements, or who maintain other close business relationships with this person;	<input type="checkbox"/>	<input type="checkbox"/>
	b) any natural person, who is the sole beneficial owner of a legal entity or legal arrangement, which is known to actually have been established for the benefit of a person specified in (1).	<input type="checkbox"/>	<input type="checkbox"/>
	<p>If the beneficial owner of the applicant(s) falls under at least one of these criteria and if at least one question had to be answered with Yes, or if in the future one of these conditions will be fulfilled, please inform us of the exact capacity and provide us with further information on this without a request.</p>		
	<p>Title of the office/additional information:</p> <hr style="border: 0; border-top: 1px solid black; margin-top: 5px;"/>		
	<p>If further persons must be taken into account as beneficial owners of the applicant, the necessary information on these persons must be documented on a separate sheet and attached to this application.</p>		

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Review of the origin of the funds	<p>In accordance with the requirements of the Luxembourg money laundering act, the origin of the financial funds must be determined.</p> <p>The funds that are used for the acquisition of the units requested above originate from:</p> <p><input type="checkbox"/> Current yield</p> <p><input type="checkbox"/> Share capital</p> <p><input type="checkbox"/> Inheritance</p> <p><input type="checkbox"/> Retained earnings</p> <p><input type="checkbox"/> Disposals: _____</p> <p><input type="checkbox"/> Special income, e.g. payout of insurance (please name): _____</p> <p><input type="checkbox"/> Other origin (please specify): _____</p> <p>The sales agent or the management company reserves the right to request corresponding evidence of the origin of the funds if needed. We note that tax offences can be considered predicate offences to money laundering.</p>
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3. Representative of the applicant

1. Representative of the applicant	
Surname, first name:	
Street, House number, Postal code, City, Country:	
Phone/fax:	
Date of birth, Place and country of birth:	
Occupation: (if necessary previous profession)	
Nationality:	
Identification verified by:	<input type="checkbox"/> Personal identification card <input type="checkbox"/> Passport
Issued on:	
Valid until:	
Identification card no:	
Issuing authority:	
Relation to the legal entity:	

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Review as a politically exposed person (PEP) regarding the 1st Representative of the applicant	<p>In accordance with the requirements of the Luxembourg money laundering act, the following special due diligence obligations apply for all business relationships with "politically exposed persons". "Politically exposed persons" (PEP) are those natural persons who hold or have held (within the past year) important public offices, as well as their immediate family members or known close associates.</p> <p>Please confirm for us, by providing information on the following points, whether the first representative holds or held one of the listed important public offices and therefore is to be classified as "politically exposed persons":</p> <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left; padding-bottom: 5px;">(1) The definition of PEP includes the following "natural persons who hold or have held important public offices":</th> <th style="text-align: center; padding-bottom: 5px;">Yes</th> <th style="text-align: center; padding-bottom: 5px;">No</th> </tr> </thead> <tbody> <tr> <td style="padding: 5px;">a) Heads of state, government leaders, ministers, deputy ministers and secretaries of state;</td> <td style="text-align: center; padding: 5px;"><input type="checkbox"/></td> <td style="text-align: center; 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	<p>(2) The definition of PEP includes the following "family members" who hold or have held important public offices":</p> <p>a) a spouse; <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>b) a partner that has the same rights as a spouse in accordance with applicable law; <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>c) children and their spouses or partners; <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>d) parents; <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>e) siblings. <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>(3) The definition of PEP includes the following "closely related persons" who hold or have held important public offices: Yes No</p> <p>a) any natural person, who, together with a person that falls under (1), is known to be the sole beneficial owner of legal entities or legal arrangements, or who maintain other close business relationships with this person; <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>b) any natural person who is the sole exclusive owner of a legal person or who is a legal structure that is known to actually have been established for the benefit of the person named in (1). <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>If the 1st Representative of the applicant falls under at least one of these criteria and if at least one question had to be answered with yes, or if in the future one of these conditions will be fulfilled, please inform us of the exact capacity and provide us with further information on this without a request.</p> <p>Title of the office/additional information:</p> <p>_____</p>
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	2. Representative of the applicant
Surname, first name:	
Street, House number, Postal code, City, Country:	
Phone/fax:	
Date of birth, Place and country of birth:	
Occupation: (if necessary previous profession)	
Nationality:	

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Identification verified by:	<input type="checkbox"/> Personal identification card <input type="checkbox"/> Passport																														
Issued on:																															
Valid until:																															
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Issuing authority:																															
Relation to the legal entity:																															
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	Yes	No
(2) The definition of PEP includes the following "family members" who hold or have held important public offices":		
a) a spouse;	<input type="checkbox"/>	<input type="checkbox"/>
b) a partner that has the same rights as a spouse in accordance with applicable law;	<input type="checkbox"/>	<input type="checkbox"/>
c) children and their spouses or partners;	<input type="checkbox"/>	<input type="checkbox"/>
d) parents;	<input type="checkbox"/>	<input type="checkbox"/>
e) siblings.	<input type="checkbox"/>	<input type="checkbox"/>
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a) any natural person, who, together with a person that falls under (1), is known to be the sole beneficial owner of legal entities or legal arrangements, or who maintain other close business relationships with this person;	<input type="checkbox"/>	<input type="checkbox"/>
b) any natural person, who is the sole beneficial owner of a legal entity or legal arrangement, which is known to actually have been established for the benefit of a person specified in (1).	<input type="checkbox"/>	<input type="checkbox"/>
<p>If the 2nd Representative of the applicant falls under at least one of these criteria and if at least one question had to be answered with yes, or if in the future one of these conditions will be fulfilled, please inform us of the exact capacity and provide us with further information on this without a request.</p>		
<p>Title of the office/additional information:</p> <hr style="border: 0; border-top: 1px solid black; margin-top: 10px;"/>		

If further representatives are to be taken into account, the necessary information on these persons must be documented on a separate sheet and attached to this request.

4. Proxy/Power of disposition

1. The representative of the applicant hereby expressly confirms that he/she is authorised to represent the applicant in legal transactions of the present kind.
2. It is expressly pointed out that IPConcept (Luxemburg) S.A. ("Management Company") and/or the Registration and Transfer Agent commissioned by it, only carries out orders for such persons as are named in this subscription order as authorised to represent, and whose identity has been determined and documented according to the relevant provisions on combating money laundering.
3. **Insofar as another regulation is not expressly indicated, the management company and/or the Registration and Transfer Agent may assume that the representative of the applicant holds sole power of representation.**
4. The representative of the applicant acts in the context of his/her power of representation.

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5. Information for investors regarding the United States of America

5.1 IDENTIFICATION AND US CLASSIFICATION OF THE COMPANY

Fund units may not be offered, sold or otherwise transferred in the USA nor for the account of a US Person. For this purpose, a US person is defined as in Regulation S of the Securities Act, in the Commodity Exchange Act and in the Internal Revenue Code of 1986 in its currently valid version in the sense of the interpretation according to the US Foreign Account Tax Compliance Act 2010 ("FATCA") and/or the corresponding intergovernmental agreement with the USA (IGA) in connection with the FATCA Act of 24 July 2015. The restrictions for the applicant described in the sales prospectus with regard to the United States of America shall apply. You can obtain detailed information regarding classification as a "US PERSON" or a "NON-US PERSON" on the internet page of the Management Company www.ipconcept.com as well as from the Management Company or the Registration and Transfer Agent upon request.

The unit classes of the fund can be subscribed by investors either (i) by a FATCA-compliant independent intermediary authority (Nominee) or (ii) directly or indirectly through an intermediary authority (which serves only for purposes of mediation and does not act as Nominee) with the exception of

- Specified US-Persons

This investor group includes those US persons who are classified by the government of the United States as at risk in regard to practices of tax avoidance and tax evasion. However this does not apply, among other things, to listed companies, tax-exempt organisations, real estate investment trusts (REIT), trust companies, US securities dealers or similar entities.

- passive non-financial foreign entities (passive NFFE), whose substantial ownership is held by a US person

This investor group in general means those NFFEs (i) that do not qualify as active NFFE, or (ii) that are not a reserving foreign partnership or a reserving foreign trust under the relevant implementation rules of the Finance Ministry of the United States (Treasury Regulations).

- Non-participating Financial Institutions

Non-participating Financial Institution means, firstly, a financial institution that is headquartered in a country that has not made any intergovernmental agreement for the promotion of tax honesty in international affairs (IGA) with the USA. The United States of America assigns this status, among other things, due to the non-conformity of a financial institution, which has not complied with the given requirements, for example due to infringement of conditions of the relevant country specific IGAs, within 18 months of the first notification.

I/We declare on behalf of the applicant that the latter, as well - if relevant - in the case of a passive NFFE, its controlling persons, is not to be classified as a person with regard to the United States of America and/or as a US person in the sense of Regulation S of the Securities Act, the Commodity Exchange Act and the Internal Revenue Codes of 1986 in its currently applicable version in the sense of the interpretation according to the US Foreign Account Tax Compliance Acts of the United States of America and the applicable IGA in connection with the FATCA act of 24 July 2015. In addition I/we are obligated to inform the Registration and Transfer Agent, by making available Form W-8BEN-E, W-8IMY or W-8EXP, of the FATCA status of the applicant.

5.2 NOTIFICATION OBLIGATION

If, after this subscription order is signed, conditions occur or facts become known that indicate a change of the ascertained FATCA status and/or the US company classification of the applicant or - in the case of a passive NFFE - designate one of the controlling persons etc., the applicant must inform the management company of it immediately. The management company and/or the Registration and Transfer Agent can, in that case, request additional documents (e.g. W-9) from the applicant.

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The applicant is aware that in the case of a reporting obligation, annual detailed information on the unit subscription and its person, as well as in the case of a passive NFFE, of the relevant controlling person, must be transmitted to the Luxembourg tax authority (*Administration des Contributions Directes* [Direct Tax Administration]), which will then forward it to the responsible US tax authority, the IRS.

The management company can decide upon a compulsory redemption of all units of a subfund that are still in circulation, that are subscribed by a US person, within 90 days after notification of a possible change in status, without permission from the relevant investor being necessary.

6. Method of payment

Share Class A EUR

- One-off payment to the equivalent of EUR _____ (at least EUR 10.000.000,-)**
- Payment is effected by remittance to the account of IPConcept (Luxemburg) S.A. (IBAN DE93 5006 0400 0000 1556 00) at DZ BANK AG, Deutsche Zentral-Genossenschaftsbank, Frankfurt am Main (BIC: GENO DE FF), quoting the subfund name and the WKN or ISIN.
- The one-off payment is made by direct debit.

Share Class A USD

- One-off payment to the equivalent of USD _____ (at least USD 10.000.000,-)**
- Payment will be made by transfer to account no. 8900433892 in the name of DZ PRIVATBANK S.A. with The Bank of New York (BIC: IRVTUS3N), specifying the name of the subfund and the WKN or ISIN.
- The one-off payment is made by direct debit.

Share Class A GBP

- One-off payment to the equivalent of GBP _____ (at least GBP 10.000.000,-)**
- Payment will be made by transfer to account no. 8883831 (IBAN: GB61 CITI 1850 0808 8838 31) with Citibank N.A., London (BIC: CITIGB2L), specifying the name of the subfund and the WKN or ISIN.
- The one-off payment is made by direct debit.

Share Class B EUR

- One-off payment to the equivalent of EUR _____ (at least EUR 5.000.000,-)**
- Payment is effected by remittance to the account of IPConcept (Luxemburg) S.A. (IBAN DE93 5006 0400 0000 1556 00) at DZ BANK AG, Deutsche Zentral-Genossenschaftsbank, Frankfurt am Main (BIC: GENO DE FF), quoting the subfund name and the WKN or ISIN.
- The one-off payment is made by direct debit.

Share Class B USD

- One-off payment to the equivalent of USD _____ (at least USD 5.000.000,-)**
- Payment will be made by transfer to account no. 8900433892 in the name of DZ PRIVATBANK S.A. with The Bank of New York (BIC: IRVTUS3N), specifying the name of the subfund and the WKN or ISIN.
- The one-off payment is made by direct debit.

Share Class C EUR

- One-off payment to the equivalent of EUR _____ (at least EUR 3.000.000,-)**
- Payment is effected by remittance to the account of IPConcept (Luxemburg) S.A. (IBAN DE93 5006 0400 0000 1556 00) at DZ BANK AG, Deutsche Zentral-Genossenschaftsbank, Frankfurt am Main (BIC: GENO DE FF), quoting the subfund name and the WKN or ISIN.
- The one-off payment is made by direct debit.

Share Class C GBP

- One-off payment to the equivalent of GBP _____ (at least GBP 3.000.000,-)**

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- Payment will be made by transfer to account no. 8883831 (IBAN: GB61 CITI 1850 0808 8838 31) with Citibank N.A., London (BIC: CITIGB2L), specifying the name of the subfund and the WKN or ISIN.
- The one-off payment is made by direct debit.

Share Class D EUR

- One-off payment to the equivalent of EUR _____ (at least EUR 3.000.000,-)**
- Payment is effected by remittance to the account of IPConcept (Luxemburg) S.A. (IBAN DE93 5006 0400 0000 1556 00) at DZ BANK AG, Deutsche Zentral-Genossenschaftsbank, Frankfurt am Main (BIC: GENO DE FF), quoting the subfund name and the WKN or ISIN.
- The one-off payment is made by direct debit.

Share Class E EUR

- One-off payment to the equivalent of EUR _____**
- Payment is effected by remittance to the account of IPConcept (Luxemburg) S.A. (IBAN DE93 5006 0400 0000 1556 00) at DZ BANK AG, Deutsche Zentral-Genossenschaftsbank, Frankfurt am Main (BIC: GENO DE FF), quoting the subfund name and the WKN or ISIN.
- The one-off payment is made by direct debit.

Applications for subscription of units in the relevant subfund are accepted by the Registration and Transfer Agent on behalf of the management company. If the equivalent value is not received from the fund assets, in particular due to a cancellation, non-payment of a direct debit or for other reasons, the management company shall take back the respective units in the interests of the fund. Any differences resulting from the recall of the units that have a negative effect on the fund shall be borne by the applicant. Cases of cancellation in the sense of § 305 of the German Investment Code (see Point 12 below), as well as other regulations for consumer protection, are not included under this regulation.

7. Direct debit authorisation**7.1 Direct debit authorisation**

I/we hereby irrevocably authorise the Management Company to charge the payments requested above for the one-time payment to the following account by means of a direct debit. The Management Company is permitted to order the Registration and Transfer Agent to charge the payments to be paid by me/us.

7.2 SEPA direct debit mandate

I/we hereby authorise the Management Company to charge my/our account for payments by means of the SEPA direct debit procedure. At the same time, I/we instruct my/our financial institution to honour the direct debits charged to my/our account by the Management Company.

Note: I/we can demand a reimbursement of the amount charged for a period of eight weeks starting with the charge date. The conditions agreed with my/our financial institution apply.

The Management Company is permitted to order the Registration and Transfer Agent to charge the payments to be paid by me/us.

Name of the account holder		IBAN
BIC	Financial institution	
Date/Signature of the Account Holder		

Before the first collection of a SEPA direct debit, the management company will inform me/us or the Registration and Transfer Agent of the collection in this type of proceeding.

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If the amounts specified in section 1 – subject matter of the application are paid by means of the SEPA direct debit procedure, the payor(s) shall receive information in advance regarding the direct debit charge one day prior to the due date at the latest.

The one-time payment amount that is due (see section 1 – subject matter of the application) shall be charged to your account indicated above within 2 Luxembourg bank working days after receipt of the subscription application by the Registration and Transfer Agent by means of SEPA direct debit with the creditor identification number of LU57ZZZ00000000000000000372. We ask that you ensure there are adequate funds in the account.

The client number corresponds to your registration number, which you can find on the first purchase statement.

8. Use of proceeds

In the case of a dividend, the disbursed amount should

- be reinvested.
 be transferred to the following account:

Name of the account holder	IBAN
BIC	Financial institution
Date/Signature of the representative	

9. INFORMATION OBLIGATION/DATA PROTECTION AND CONSENT TO THE TRANSMISSION OF CUSTOMER DATA

The Management Company and the Registration and Transfer Agent of the Fund are the responsible parties for the processing of data in accordance with the Art. 4, no. 7 of the REGULATION (EU) 2016/679 OF THE EUROPEAN PARLIAMENT AND THE COUNCIL ("European General Data Protection Regulation", "GDPR"). In connection with general information exchange and the US tax laws (Foreign Account Tax Compliance Act - FATCA) as well as the intergovernmental agreement between the USA and Luxembourg, the management company is obligated, based on Luxembourgian legislation, to transmit the required data to registers relevant to reporting at the responsible tax authority (*Administration des Contributions Directes* in Luxembourg). This authority shall transmit the data to the competent tax authorities of the respective affected countries that are subject to reporting requirements. The information exchange is carried out for all so-called persons subject to reporting. These are natural persons and other legal entities and legal constructions as well as, in the case of passive legal entities, their controlling persons.

The following data on the applicant is recorded and correspondingly reported if there is a reporting obligation: (a) for general information exchange: (i) Name, (ii) Address, (iii) Place of birth, (iv) Date of birth, (v) Register number, (vi) Tax number/TIN, (v) Register balance or value,* (vi) Total gross amount of earnings such as dividends,* and (vii) Total gross revenues from the sale or buyback of fund units;* (b) for FATCA: (i) Name, (ii) Address, (iii) Register number, (iv) Tax number/TIN, (v) Register balance or value,* (vi) Total gross amount of earnings such as dividends,* and (vii) total gross earnings from sale or buyback of fund units (from 2017)*; (*this data category applies only to the applicant).

The applicant is obligated to provide all information relevant under these provisions to the management company as the financial institution that has an obligation to report, and/or to the Registration and Transfer Agent. Failure to comply with this obligation can have the consequence that the applicant's data and, in the case of a passive legal entity, the data of the controlling persons with a reporting obligation, must be reported to the responsible tax authorities and/or to all tax authorities of the countries in which the persons with an obligation to report have a relevant indication of tax residency.

For the purpose of executing this task, the office responsible for registration collects, processes and uses the business and personal data. I/We consent, by signing this subscription order, to the transmission of this data to the broker, to the agents that are

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part of the distribution structure of TRIGON and that, in this context, distribute the units of the relevant subfund on a continuous basis, and if necessary to the account manager, in order to facilitate running customer service and comprehensive advice. In this context, the Sales Agent shall also be provided with the following data: data regarding the person, the unit inventory and sales amounts and other transaction related information pursuant to executing the order and the required database management.

Within the scope of proper execution of the business transaction, personal data of the applicant shall be processed in accordance with the data protection laws applicable in Luxembourg and the GDPR.

Data protection provisions can be found in Annex 1 (data protection information).

10. Confirmations

1. The binding basis for the purchase of the units is the Sales Prospectus, the "Key Investor Information Document", the last published and audited annual report and the last unaudited semi-annual report. These documents can always be obtained free of charge at the headquarters of the management company, the paying agent, at the distributor and at the relevant information offices. The sales prospectus, the "Key Investor Information Document", the annual and semiannual reports and the latest net asset value can also be called up free of charge on the website of the management company www.ipconcept.com.

The above named documents have been handed out to me/us.

The "Key Investor Information Document" were given to me/us. I/We waive the handing out of other above named documents. They were offered to me/us without requesting them, and free of charge.

I/We have taken into account and recognised all conditions and information.

2. I/We declare that I/we have provided all information/statements in this document to the best of my/our knowledge and conscience, and these are complete and correct.

3. In accordance with the applicable money laundering regulations, I/we confirm that the invested funds do not originate from unlawful acts.

4. Further I/we hereby expressly confirm that the economic beneficiary/ies of the applicant have been conclusively identified in Section 2. This also applies for future unit purchases. The management/representative(s) is/are obligated to immediately notify the management company of changes in the economic beneficiaries of the applicant.

5. I/We are aware that registration in the fund registry is not carried out until the applicant's identification process is completely concluded.

6. I/we are aware that the Management Company may pay compensation to the Sales Agent specified in the Sales Prospectus. My/our agent showed me/us whether and in what amount compensation shall be paid by the Management Company to the Sales Agent. My/our agent has also informed me/us about compensation that my/our agent may receive from the Sales Agent or from third parties.

7. **By signing this subscription application, I/we hereby declare that I/we have read and understood all individual sections of this subscription application and I/we declare that I am/we are in agreement with the content of the individual sections, and I/we confirm these to the extent required.**

Place, date

Signature 1. Representative

Signature 2. Representative

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11. Applicable law, Court of jurisdiction and Contractual language

1. The Fund is subject to the laws of the Grand Duchy of Luxembourg. The same applies to the legal relationships between the applicant, the management company and the depositary, insofar as another legal system has not subjected their contractual relationship to special regulations independently of this.
2. Any legal dispute between investors, the management company and the depositary is subject to the jurisdiction of the competent court in the judicial district of the City of Luxembourg in the Grand Duchy of Luxembourg, insofar as not otherwise regulated in the currently applicable sales prospectus, or except if in the country in which the fund is distributed, an exclusive place of jurisdiction takes precedence.
3. In the case of a legal dispute, the German wording of the fund documents listed under 10.1 is authoritative.

12. Right of cancellation for the subscription orders procured in the Federal Republic of Germany

Information about the right of revocation pursuant to Sec. 305 of the Capital Investment Act:

If the purchaser of units of an open investment fund is designated, through oral negotiations outside of the regular business premises of the person selling the units or mediating in the sale, to make a declaration of intent relating to the sale, then he is only bound to this declaration if he does not recall it within a period of two weeks at the management company or a representative in the sense of § 319 KAGB [German Investment Code] in text form (thus email is sufficient); this also applies if the person selling the units or mediating in the sale has no regular business premises. In the case of remote sales transactions, Sec. 312g, para. 2, sentence 1 no. 8 of the German Civil Code applies accordingly: The right of revocation does not exist, to the extent that the parties have not agreed otherwise, in the case of contracts for the delivery of goods or the provision of services, including financial services for which the price is dependent on fluctuations on the financial markets and the company has no influence on such fluctuations and such fluctuations could occur within the revocation period, especially financial services in connection with shares of stock, units in open investment funds within the meaning of Sec. 1, para. 4 of the Capital Investment Act and with other tradable securities, foreign currency, derivatives or money market instruments.

Timely sending of the declaration of revocation is sufficient for adhering to the deadline. The revocation should be made to the company,

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Fax: 00352 26 02 48 3602

in text form while indicating the personal data of the declaring party, and a justification is not required.

The cancellation period does not begin to run until the purchaser hands out the copy of the contract when the contract is concluded or an invoice relating to the purchase has been sent and the copy or the invoice contains an instruction on the right of cancellation such as the present one. If the start of the revocation period is disputed pursuant to Sec. 305, para. 2, sentence 2 KAGB, then the seller shall bear the burden of proof.

There is no right of revocation if the seller provides evidence that

1. the purchaser is not a consumer within the meaning of Sec. 13 of the Civil Code or
2. the seller sought out the purchaser for the negotiations that led to the sale of the units on the basis of a prior order in accordance with Sec. 55, para. 1 of the German Trade Act.

If revocation has been given and the purchaser has already made payments, then the Management Company is obligated to return the paid costs and an amount corresponding to the value of the purchased units on the day after receipt of the declaration of revocation in return for a retransfer of the acquired units, if applicable.

The right of revocation may not be waived.

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The preceding conditions regarding the right of revocation concerning the purchase of fund units apply accordingly for the sale of units by the investor.

I/We hereby declare that I/we am aware of the above printed statements and conditions, in particular of applicable law and the agreement on place of jurisdiction.

I have been expressly informed of the right of cancellation, also printed above.

Place, date

Signature 1. Representative

Signature 2. Representative

13. Identification for the purpose of preventing money laundering and combatting the financing of terrorism

The identity of the applicant of the previously designated representative(s) and, as the case may be, the previously designated beneficial owner(s) have been determined through submission of the above named identity papers. The signature(s) on this document have been reviewed, that is they come from the owner of the official identity papers submitted. A copy of this identity paper, certified by me in my capacity as agent of the distributor, is attached to this declaration.

Place, date

Signature of the Agent

Agent number

Stamp/Signature of the distributor

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ANNEX 1

Information regarding data protection

How we work with your data and your rights

- Information pursuant to Art. 13, 14 and 21 of the European General Data Protection Regulation (GDPR) -

Dear Client,

Below, we are informing you about the processing of your personal data by us and the rights granted to you by the data protection regulations.

Which data are processed specifically and in what manner they are used is primarily based on the services agreed or requested.

1. Who is responsible for the data processing and whom can I contact?

Jointly responsible parties are:

DZ PRIVATBANK S.A.
4, rue Thomas Edison
L-1445 Strassen, Luxembourg

Postal address
DZ PRIVATBANK S.A.
PO Box 661
L - 2016 Luxembourg

Tel. +352 44 903 1
Fax: +352 44 903 2001
Email: info@dz-privatbank.com

IPConcept (Luxemburg) S.A.
4, rue Thomas Edison
L-1445 Strassen, Luxembourg

Postal address
IPConcept (Luxemburg) S.A.
4, rue Thomas Edison
L-1445 Strassen, Luxembourg

Tel. +352 260 248-1
Fax: +352 260 248-4955
Email: info@ipconcept.com

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You can reach out Data Protection Officer at:

DZ PRIVATBANK S.A.
Data Protection Officer
4, rue Thomas Edison
L-1445 Strassen, Luxembourg

Postal address
DZ PRIVATBANK S.A.
Data Protection Officer
PO Box 661
L - 2016 Luxembourg

Tel. +352 44 903 1
Fax: +352 44 903 2001
Email: datenschutz@dz-privatbank.com

2. What sources and data do we use?

We process personal data, which we received from you pursuant to our business contact or our business relationship. In addition, we process personal data, which we have lawfully received from other companies of the Cooperative Financial Group, credit unions, Raiffeisen banks or other third parties to the extent that such is necessary for providing our services (e.g. in order to execute orders, fulfil contracts or on the basis of consent that you provided). We also process personal data, which we have lawfully obtained from publicly accessible sources and are allowed to process (e.g. debtor registries, land ownership registries, commercial registries, the press, media).

Relevant personal data are *personal information* (name, address and other contact information, date of birth and place of birth and nationality), *data establishing capacity* (e.g. data from the identification document) and authentication data (e.g. a signature sample). Furthermore, these can also be *order data* (e.g. payment order, securities order), *data from fulfilling our contractual obligations* (e.g. sales data for payment transactions, credit limit, product data [e.g. deposit, credit and securities transactions]), *information about your financial situation* (e.g. data regarding creditworthiness, scoring/ratings data, origin of assets), *promotional and marketing data* (including advertising scores), *documentation data* (e.g. advisement log), *registry data*, *data about the use of our offered telemedia services* (e.g. the data of accessing our websites, apps or newsletter, pages clicked on that are owned by us or entries) as well as other data that are comparable with the abovementioned categories.

3. For what purpose do we process your data (purpose of processing) and on what legal basis?

We process personal data in accordance with the provisions of the European General Data Protection Regulation (GDPR) and the respectively applicable national law.

3.1 For fulfilment of contractual obligations (Art. 6, para. 1b GDPR)

The processing of personal data (Art. 4, no. 2 GDPR) occurs for the purpose of providing and brokering bank transactions, financial services and insurance and real estate transactions, especially for executing our agreements or carrying out pre-

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contractual measures with you and for executing your orders, as well as for all required activities related to the operation and administration of a banking and financial services institution.

The purposes of data processing are mainly based on the specific product (e.g. account, credit line, securities, deposits, brokerage, online banking, fund services) and can include needs analyses, advisement, asset management and support as well as the execution of transactions.

You can find additional details regarding the purpose of data processing in the respective contractual documents and business terms and conditions.

3.2 As part of weighing interests (Art. 6, para. 1f GDPR)

To the extent necessary, our processing of your data goes beyond actually fulfilling the agreement for the preservation of legitimate interests on our part or the part of third parties, for example in the following cases:

- consultation and data exchange with informational agencies for the determination of creditworthiness and default risks and the need for an account that is protected from garnishments or a base account;
- review and optimisation of procedures for needs analysis and direct client contact;
- advertising or market and opinion research, to the extent that you have not objected to the use of your data;
- assertion of legal claims and defence in the case of legal disputes;
- guarantee of IT security and the IT operation of the bank;
- prevention and investigation of criminal acts;
- video surveillance serves to collect evidence in the case of criminal acts or proof of obtainment of funds and deposits at deposit and withdrawal locations. They thus serve to protect clientele and employees as well as to ensure compliance with company regulations;
- measures for ensuring the safety of buildings and facilities (e.g. access controls);
- measures of ensuring compliance with company regulations;
- measures for business management and further development of products and services.

3.3 On the basis of your consent (Art. 6, para. 1a GDPR)

To the extent that you have provided us with consent for the processing of personal data for certain purposes (e.g. dissemination of data within the Group, analysis of payment transaction data for marketing purposes), the legality of such processing is based on your consent. Consent may be revoked at any time. This also applies to the revocation of declarations of consent, which were provided to us prior to the effective date of the GDPR, i.e. prior to 25 May 2018.

Please note that the revocation is only effective in the future. Processing activities, which occurred prior to the revocation, are not affected.

3.4 On the basis of legal regulations (Art. 6, para. 1c GDPR) or in the public interest (Art. 6, para. 1e GDPR)

In addition, as a bank we are subject to numerous legal obligations, i.e. legal requirements as well as regulations from the banking oversight authorities (e.g. the European Central Bank, the European Bank Oversight Authority, the Commission de Surveillance du Secteur Financier, the Central Bank of Luxembourg, the German Central Bank and the German Agency for Financial Services Oversight). Among other things, review of creditworthiness, verification of identity and age, fraud and

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money laundering prevention, the fulfilment of control and reporting obligations pursuant to tax law and the evaluation and management of risks are purposes for data processing.

4. Who shall receive my data?

Within the bank, your data shall be accessed by the offices that have a need for such in order to fulfil our contractual and legal obligations. Contract data processors employed by us (Art. 28 GDPR) may also obtain data for the specified purposes. These are companies in the categories of loan and financial services, IT services, logistics, print services, telecommunications, collections, advisement and consulting as well as sales and marketing.

With respect to the dissemination of data to recipients outside of the bank, it should first be noted that we are bound to the applicable legal provisions and the General Business Terms and Conditions agreed between you and us regarding secrecy of all client-related facts and evaluations, of which we obtain knowledge (banking secrecy). We may only disseminate information about you if such is required pursuant to legal regulations, you have provided consent for such or we are authorised to provide banking information. Subject to these requirements, recipients of personal data can be, for example:

- public agencies and institutions (e.g. German Central Bank, German Agency for Financial Services Oversight, Commission de Surveillance du Secteur Financier, Central Bank of Luxembourg, European Banking Oversight Authority, European Central Bank) if there is a legal obligation or an order from the authority.
- Other banking or financial services institutions or comparable facilities, to which we transmit your personal data for the purpose of executing the business relationship (depending on the agreement: e.g. companies of the Cooperative Banking Group, credit unions, Raiffeisen banks, correspondent banks, securities deposit banks, stock exchanges, informational agencies).

Additional data recipients can be those agencies, for which you have provided us your consent for data transmission or for which you have released us from the obligation of banking secrecy on the basis of the agreement or consent.

5. How long will my data be stored?

To the extent necessary, we shall process and store your personal data for the duration of our business relationship, which also includes, for example, the initiation and winding up of an agreement. It should be noted that our business relationship may last for several years.

In addition, we are subject to statutory and regulatory retention and documentation obligations. The time periods specified there for retention or documentation amount to up to ten years after the creation of or five years after the termination of the business relationship.

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Finally, the storage duration is also determined by the statutory limitation periods, which may be up to thirty years in individual cases.

6. Will data be transmitted to a third party country or to an international organisation?

Data transmission to third party countries (countries outside of the European Economic Area – EEA) shall only take place to the extent that such is required for the execution of your orders (e.g. payment or securities transaction orders), is legally prescribed or you have provided consent to us for such. To the extent that such is prescribed by law, we shall provide you with details separately.

7. What data protection rights do I have?

Each data subject has a right to:

- **Information** pursuant to Art. 15 GDPR,
- **Correction** pursuant to Art. 16 GDPR,
- **Erasure** pursuant to Art. 17 GDPR,
- **Limitation of processing** pursuant to Art. 18 GDPR,
- **Data portability** pursuant to Art. 20 GDPR,
- a **right of objection** to a data protection oversight authority pursuant to Art. 77 GDPR.

8. Is there an obligation to provide data?

Within the scope of our business relationship, you must only provide the personal data that are necessary for the establishment, execution and termination of a business relationship, or for which collection we are legally obligated. Without these data, we will normally have to decline the conclusion of an agreement or the execution of the order or we will no longer be able to execute an existing agreement and may have to terminate it.

We are especially obligated, in accordance with the money laundering regulations, to identify you prior to establishing a business relationship, for example on the basis of your personal identification document, and must at least collect your name, your place of birth, your date of birth, your nationality and your residential address. In order for us to be able to comply with this legal obligation, you must provide us with the necessary information and documents in accordance with the applicable regulations for the prevention of money laundering and the financing of terrorism and immediately notify us of any changes occurring during the course of the business relationship. If you should not provide us with the necessary information and documents, we will not establish the business relationship that you requested.

9. To what extent is there automated decision-making in individual cases?

For the establishment and execution of the business relationship, we primarily do not utilise fully automated decision-making within the meaning of Art. 22 GDPR. If we should employ these procedures in individual cases, we shall inform you of this separately to the extent that such is prescribed by law.

SUBSCRIPTION APPLICATION

TRIGON

10. To what extent will my data be used for profiling (scoring)?

We occasionally process your data automatically with the goal of evaluating certain personal aspects (profiling). For example, we use profiling in the following cases:

- Due to legal and regulatory specifications, we are obligated to combat money laundering, the financing of terrorism and criminal acts that compromise assets. In doing so, data evaluations (including payment transactions) are also performed. These measures also serve for your protection.
- In order to be able to inform you and advise you about products in a targeted manner, we utilise analysis tools. These allow communication and advertising including market and opinion research as needed.

SUBSCRIPTION APPLICATION

TRIGON

Information about your right of objection pursuant to Art. 21 of the General Data Protection Regulation (GDPR)

1. You have the right to submit an objection at any time against the processing of personal data concerning you, which occurs on the basis of Art. 6, para. 1e GDPR (data processing in the public interest) and Art. 6, para. 1f GDPR (data processing based on a weighing of interests), for reasons that are specific to your particular situation; this also applies to profiling within the meaning of Art. 4, no. 4 GDPR based on this provision, which we employ for the purpose of determining creditworthiness or for advertising.

If you file an objection, we will no longer process your personal data unless we can prove that there are compelling legitimate grounds for the processing, which outweigh your interests, rights and freedoms, or that the processing serves the assertion, exercising or defence of legal claims.

2. In individual cases, we process your personal data in order to carry out direct advertising. You have the right to file an objection against the processing of personal data concerning you for the purpose of this type of advertising at any time; this also applies for profiling to the extent that such is in connection with direct advertising.

If you object to the processing for purposes of direct advertising, then we shall no longer process your personal data for these purposes.

The objection can be made to one of the responsible parties, in any form, and, if possible, should be directed to:

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4, rue Thomas Edison
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